

JCWSCS 19 OCT 2004

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P. 1

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**F A C S I M I L E M E S S A G E**

TO FACSIMILE NO.: (703) 746-9195

ATTENTION: Office of Initial Patent Examination's  
Filing Receipt Corrections

FROM: Michael H. Smith

SUBJECT: Applicant: Karl W. Terry et al. Dkt.No.: 7124.023  
Serial No.: 10/706,552 Conf. No.: 6890  
Filed: 11/12/2003  
Art Unit: 1712  
Title: COMPOSITION FOR PROVIDING AN ABRASION  
RESISTANT COATING ON A SUBSTRATE WITH  
A MATCHED REFRACTIVE INDEX AND  
CONTROLLED TINTABILITY

DATE: October 18, 2004

PAGES: 5 plus this transmittal page.

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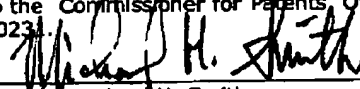
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Attached documents include:

1. Transmittal (1 page);
2. Fee Transmittal (1 page);
3. Request for Corrected Filing Receipt (2 pages); and
4. Copy of Filing Receipt with changes noted thereon (2 pages).

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Michael H. Smith  
Name of applicant, assignee or  
registered representative

10/18/2004  
Date

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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0661-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/706,552
	Filing Date	11/12/2003
	First Named Inventor	Karl W. Terry et al.
	Group Art Unit	1712
	Examiner Name	M.S. Zimmer
Total Number of Pages in This Submission	Attorney Docket Number	7124.023

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> See remarks below:
Remarks 1. Transmittal (1 page); 2. Fee Transmittal (1 page); 3. Request for Corrected Filing Receipt (2 pages); and 4. Copy of Filing Receipt with changes noted thereon (2 pages).		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DUNLAP, CODDING & ROGERS, P.C., Customer Number 30589 P. O. Box 16370, Oklahoma City, Oklahoma 73113; Michael H. Smith
Signature	<i>Michael H. Smith</i>
Date	10/18/2004

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail or U.S. Express mail no. _____ in an envelope addressed to the address below on this date: 10/18/2004			
Typed or printed name	Michael H. Smith, Reg. No. 53,614		
Signature	<i>Michael H. Smith</i>	Date	10/18/2004

SEND TO: Office of Initial Patent Exam Filing Receipt Corrections  
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PTO/SB/17 (10-04)  
Approved for use through 07/31/2008. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0

## Complete If Known

Application Number 10/706,552  
Filing Date 11/12/2003  
First Named Inventor Karl W. Terry  
Examiner Name M.S. Zimmer  
Art Unit 1712  
Attorney Docket No. 7124.023

## METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 790	2001 395	Utility filing fee	
1002 350	2002 175	Design filing fee	
1003 550	2003 275	Plant filing fee	
1004 790	2004 395	Reissue filing fee	
1005 180	2005 80	Provisional filing fee	
SUBTOTAL (1)			(\$ ) 0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	0	X	\$0
Multiple Dependent			\$0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 88	2201 44	Independent claims in excess of 3
1203 300	2203 150	Multiple dependent claim, if not paid
1204 88	2204 44	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 130	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1804 920*	2804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 430	2252 215	Extension for reply within second month	
1253 980	2253 490	Extension for reply within third month	
1254 1,630	2254 765	Extension for reply within fourth month	
1255 2,080	2255 1,040	Extension for reply within fifth month	
1401 340	2401 170	Notice of Appeal	
1402 340	2402 170	Filing a brief in support of an appeal	
1403 300	2403 150	Request for oral hearing	
1451 1,510	2451 1,510	Petition to Institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,370	2453 685	Petition to revive - unintentional	
1501 1,370	2501 685	Utility issue fee (or reissue)	
1502 430	2502 215	Design issue fee	
1503 680	2503 330	Plant issue fee	
1460 130	2460 130	Petitions to the Commissioner	
1807 50	2807 50	Processing fee under 37 CFR 1.17(q)	
1808 180	2808 180	Submission of Information Disclosure Stmt	
8021 40	28021 40	Recording each patent assignment per property (times number of properties)	
1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))	
1801 790	2801 395	Request for Continued Examination (RCE)	
1802 900	2802 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 0

## SUBMITTED BY

Name (Print/Type) Michael H. Smith  
Signature *Michael H. Smith*

Registration No. 53,614  
(Attorney/Agent)

Telephone (405) 607-8600

Date 10/18/2004

Office of Init Patent Exam Filing Rec Corr  
Commissioner for Patents  
PO Box 1450, Alexandria, VA 22313-1450

Via Facsimile No.: (703) 746-9195  
Transmitted On: October 18, 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application: Karl W. Terry and  
Bryan S. Lembo

Group Art Unit: 1712  
(Conf. No.: 6890)

Application No.: 10/706,552

Examiner: M.S. Zimmer

Filed: 11/12/2003

Atty. Docket No.: 7124.023

For: COMPOSITION FOR PROVIDING AN  
ABRASION RESISTANT COATING ON A  
SUBSTRATE WITH A MATCHED REFRACTIVE  
INDEX AND CONTROLLED TINTABILITY

Customer No.: 30589

Commissioner for Patents  
Office of Initial Patent Examination's  
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REQUEST FOR CORRECTED FILING RECEIPT

Sir:

1. Attached is a copy of the Filing Receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:

X incorrectly entered

and/or

omitted.

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Michael H. Smith  
Michael H. Smith

Name of applicant, assignee or  
registered representative

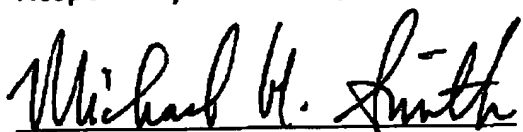
10/18/2004  
Date

7124.023 Request for Corrected Filing Receipt via FAX.wpd

	Error in	Correct Data
a.	— Applicant's name	a.
b.	— Applicant's address	b.
c.	— Title	c.
d.	<u>X</u> Filing Date	d. November 12, 2003
e.	— Serial Number	e.
f.	— Foreign/PCT Application Re:	f.
g.	— Other:	g.

Respectfully submitted,

By:



Michael H. Smith  
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Agent for Applicants

7124.023 Request for Corrected Filing Receipt via FAX.wpd



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/708,552	11/13/2003	1712	1212	7124.023		35	5

*should be 11-12-2003*

CONFIRMATION NO. 6890

30589  
DUNLAP, CODDING & ROGERS P.C.  
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OKLAHOMA CITY, OK 73113

## FILING RECEIPT



\*OC00000011860524\*

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Date Mailed: 02/09/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Karl W. Terry, Huntington Beach, CA;  
Bryan S. Lembo, Newport Beach, CA;

## Domestic Priority data as claimed by applicant

This application is a CON of 09/938,039 08/23/2001  
which is a CON of 09/553,583 04/20/2000 PAT 6,342,097  
which claims benefit of 60/130,767 04/23/1999

## Foreign Applications

If Required, Foreign Filing License Granted: 02/06/2004

Projected Publication Date: 05/20/2004

Non-Publication Request: No

Early Publication Request: No

DUNLAP, CODDING  
& ROGERS, P.C.

*wh*  
FEB 18 2004

## Title

Composition for providing an abrasion resistant coating on a substrate with a matched refractive index and controlled tintability